

## GRC: REGULATORY COMPLIANCE MANAGEMENT

*“Strengthening Organizational Compliance through Strategic Governance and Risk Alignment”*

### Schedule

Venue (InHouse)	Fees
At Your Organization Premises	Ask For The Quotation

► **Available delivery methods:** In-House Training

### Introduction

Regulatory landscapes are becoming increasingly complex, dynamic, and rigorous across all industries. Navigating this terrain demands a structured approach to compliance management integrated with governance and risk frameworks. This intensive course equips participants with tools to establish, assess, and continuously improve regulatory compliance management systems under a GRC (Governance, Risk, and Compliance) umbrella.

Through practical strategies and real-world insights, participants will explore how to embed compliance requirements into business operations, minimize risk exposure, and strengthen their organization’s capacity to meet global and sector-specific regulatory obligations.

### Objectives

By the end of this course, participants will be able to:

- Understand key regulatory compliance requirements and frameworks
- Integrate compliance management into the broader GRC architecture
- Develop a compliance risk assessment and control plan
- Implement and monitor internal controls to ensure continuous compliance
- Foster a culture of ethical accountability and regulatory awareness

## Why Attend

- Stay ahead of evolving global and regional regulations
- Reduce the risk of non-compliance penalties and reputational damage
- Build sustainable and auditable compliance programs
- Gain hands-on tools for policy creation, compliance mapping, and reporting
- Learn from case studies across sectors and jurisdictions

## Target Audience

This program is designed for:

- Compliance Officers and GRC Managers
- Risk and Internal Control Professionals
- Corporate Counsel and Legal Teams
- Audit and Assurance Professionals
- Executives responsible for governance, policy, and ethics

## Individual Benefits

Key competencies that will be developed include:

- Regulatory intelligence and compliance mapping
- Risk-based compliance planning and monitoring
- Compliance communication and stakeholder reporting
- Internal control design and testing
- Navigating audits, investigations, and enforcement actions

## Organizational Benefits

Upon completing the training course, participants will demonstrate:

- An integrated, risk-aligned compliance management system
- Reduced risk of regulatory breaches and penalties
- Stronger governance and ethical business culture
- Improved audit readiness and transparency
- Cost-effective compliance processes and oversight

## Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings - Overview of compliance frameworks and GRC integration
- Case Studies - Examples of effective regulatory compliance programs
- Workshops - Hands-on compliance risk assessments and control mapping
- Peer Exchange - Sharing compliance challenges and insights across sectors
- Tools - Templates for policy development, compliance audits, and risk registers

## Course Outline

### DETAILED 5-DAY COURSE OUTLINE (CUSTOMIZABLE)

**Training Hours:** 7:30 AM – 3:30 PM **Daily Format:** 3–4 Learning Modules | Coffee Breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

#### Day 1: Foundations of Regulatory Compliance

- Module 1: Regulatory Environment and Compliance Drivers (07:30 – 09:30)
- Module 2: Principles of Compliance Management Systems (09:45 – 11:15)
- Module 3: The Role of GRC in Modern Compliance Programs (11:30 – 01:00)
- Module 4: Workshop – Mapping Regulatory Obligations (02:00 – 03:30)

#### Day 2: Compliance Risk Assessment and Control Design

- Module 1: Identifying and Assessing Compliance Risks (07:30 – 09:30)
- Module 2: Designing Internal Controls for Regulatory Compliance (09:45 – 11:15)
- Module 3: Workshop – Compliance Risk Matrix Development (11:30 – 01:00)
- Module 4: Peer Discussion – Sector-Specific Risk Scenarios (02:00 – 03:30)

#### Day 3: Implementing Effective Compliance Programs

- Module 1: Building Policies, Procedures, and Training Programs (07:30 – 09:30)
- Module 2: Monitoring Compliance and Conducting Internal Reviews (09:45 – 11:15)
- Module 3: Workshop – Developing a Compliance Monitoring Plan (11:30 – 01:00)
- Module 4: Case Study – Regulatory Breach and Corrective Action (02:00 – 03:30)

#### Day 4: Regulatory Reporting and Audit Preparedness

- Module 1: Managing Regulatory Filings and Disclosures (07:30 – 09:30)
- Module 2: Preparing for Compliance Audits and Inspections (09:45 – 11:15)
- Module 3: Workshop – Audit Readiness Checklist and Gap Analysis (11:30 – 01:00)
- Module 4: Tools for Compliance Documentation and Recordkeeping (02:00 – 03:30)

#### Day 5: Ethics, Governance, and Sustainable Compliance

- Module 1: Creating a Culture of Compliance and Ethical Conduct (07:30 – 09:30)
- Module 2: Executive Oversight, Whistleblower Systems, and Investigations (09:45 – 11:15)
- Module 3: Workshop – Compliance Governance Strategy (11:30 – 01:00)
- Module 4: Final Review and Action Plan Development (02:00 – 03:30)

## Certification

Certificate of Completion in Regulatory Compliance Management (GRC Track) validating their competence in designing, implementing, and overseeing regulatory compliance programs within an integrated GRC framework.

## Why Choose MAWA Events

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**In-House / Customized Training**

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