

ANTI-MONEY LAUNDERING (AML) TRAINING FOR FINANCIAL INSTITUTIONS

“Ensuring Compliance and Strengthening Internal Controls Against Financial Crime”

Schedule

Venue (InHouse)	Fees
At Your Organization Premises	Ask For The Quotation

► **Available delivery methods:** In-House Training

Introduction

In today’s complex financial environment, institutions face mounting regulatory pressure to develop and maintain effective Anti-Money Laundering (AML) programs. This comprehensive 5-day training is designed to help financial institutions strengthen their AML compliance posture, reduce exposure to financial crime, and meet global regulatory expectations.

Through real-world case studies, hands-on simulations, and interactive modules, participants will gain the skills and insights necessary to build, operate, and audit robust AML systems. This course emphasizes a risk-based approach, customer due diligence, and the use of technology to detect and prevent suspicious transactions.

Objectives

By the end of this course, participants will be able to:

- Understand the international AML regulatory framework and enforcement trends
- Implement risk-based AML programs in line with FATF and Basel recommendations
- Enhance KYC, CDD, and EDD processes in financial institutions
- Identify suspicious behaviors and report STRs effectively
- Integrate AML controls into core banking operations and compliance systems

Why Attend

- Strengthen your organization's defense against financial crime
- Ensure compliance with global AML laws and local regulations
- Learn industry best practices for KYC, EDD, and monitoring
- Gain practical experience in transaction analysis and reporting
- Prepare for internal audits and regulatory inspections

Target Audience

This program is designed for:

- Compliance Officers and AML Specialists
- Risk Managers and Internal Auditors
- Relationship Managers and Front-Line Banking Staff
- Regulators and Supervisory Authorities
- Financial Crime Investigators and Legal Advisors

Individual Benefits

Key competencies that will be developed include:

- In-depth knowledge of AML regulations and typologies
- Enhanced skills in risk-based customer onboarding and monitoring
- Stronger analytical ability in detecting suspicious activities
- Competence in drafting and escalating STRs
- Familiarity with sanctions screening and digital AML tools

Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Improved AML compliance effectiveness and audit readiness
- Greater alignment with international regulatory standards
- Reduced risk of reputational and financial damage
- Enhanced institutional capacity to manage high-risk clients
- Better cross-functional cooperation in AML operations

Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings - Insight into AML frameworks, financial crime trends, and compliance obligations
- Case Studies - Real-world examples of institutional failures and successful compliance models
- Workshops - Exercises on client risk scoring, onboarding assessments, and red flag detection
- Peer Exchange - Open dialogue on compliance challenges and AML trends
- Tools - AML policy templates, customer risk models, and SAR/STR checklists

MAWA EVENTS

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Course Outline

DETAILED 5-DAY COURSE OUTLINE (Customizable)

Training Hours: 7:30 AM – 3:30 PM **Daily Format:** 3–4 Learning Modules | Coffee breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

Day 1: Introduction to AML & Global Compliance Standards

- Module 1: AML Fundamentals in Financial Institutions (07:30 – 09:30)
 - What is money laundering? Key stages and impacts
 - AML vs. CTF – core definitions and scope
 - Institutional risks and the cost of non-compliance
- Module 2: Global AML Regulatory Landscape (09:45 – 11:15)
 - FATF, Basel, Wolfsberg, and local regulators
 - Legal and enforcement frameworks (EU, OFAC, etc.)
 - Role of financial institutions in the global AML fight
- Module 3: Establishing AML Governance (11:30 – 01:00)
 - Compliance officer roles and responsibilities
 - Internal policies, escalation protocols, and reporting lines
 - Creating a culture of compliance within banks

Day 2: Risk-Based Approach & KYC/CDD Practices

- Module 1: AML Risk Assessments in Practice (07:30 – 09:30)
 - Risk classification models: customer, product, geography
 - Defining inherent vs. residual risk
 - Prioritizing controls based on exposure
- Module 2: Customer Due Diligence and Onboarding (09:45 – 11:15)
 - KYC documentation and verification techniques
 - Enhanced Due Diligence (EDD) for high-risk entities
 - Periodic review requirements and red flags
- Module 3: Beneficial Ownership and UBO Screening (11:30 – 01:00)
 - Understanding corporate structures
 - Identifying control and ownership thresholds
 - Challenges in transparency and offshore accounts

Day 3: Transaction Monitoring & Suspicious Activity Reporting

- Module 1: Building a Monitoring Framework (07:30 – 09:30)
 - Types of suspicious transactions and patterns
 - Designing monitoring rules and alert thresholds
 - Key elements of an effective AML system
- Module 2: Detecting Red Flags and Indicators (09:45 – 11:15)
 - Unusual transaction behavior: layering and integration
 - Country, customer, and product-specific risks
 - Handling alerts: triage, escalation, and closure
- Module 3: Suspicious Transaction Reports (STRs) (11:30 – 01:00)
 - Regulatory expectations and timeliness
 - SAR/STR writing techniques and case examples
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Confidentiality obligations and whistleblower protection

Day 4: Sanctions, Screening & New Risks

- Module 1: Sanctions Compliance Programs (07:30 – 09:30)
- Understanding sanctions regimes (OFAC, UN, EU)
- List management and screening tools
- Managing blocked and rejected transactions
- Module 2: Screening Techniques and Challenges (09:45 – 11:15)
- Name matching and fuzzy logic
- Handling false positives and alert fatigue
- Documentation and audit trails
- Module 3: AML Challenges in FinTech & Digital Channels (11:30 – 01:00)
- Emerging typologies in mobile banking and crypto-assets
- FATF guidance on virtual assets and VASPs
- Transaction risk profiling in online platforms

Day 5: AML Audits, Testing, and Continuous Improvement

- Module 1: Internal Controls & Independent Reviews (07:30 – 09:30)
- Elements of a strong AML control framework
- Conducting self-assessments and gap analyses
- Audit findings and remediation plans
- Module 2: Evaluating AML Program Effectiveness (09:45 – 11:15)
- Key risk indicators and performance metrics
- Reporting to senior leadership and regulators
- Benchmarking against peers and industry trends
- Module 3: Simulation Workshop – STR Filing Exercise (11:30 – 01:00)
- Hands-on group activity: analyzing a case and drafting a report
- Review and feedback on SAR quality
- Group reflection and lessons learned

Certification

Participants will receive a Certificate of Completion in AML Compliance for Financial Institutions, verifying their competence in Anti-Money Laundering practices, regulatory compliance, and institutional risk management in the financial sector.

Why Choose MAWA Events

- **Global Expertise:** More than 17 years of experience in professional training and consulting.
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- **Practical Insights:** Learn to turn theory into actionable strategies for real-world business impact.
- **Client-Focused Solutions:** Customized programs designed to achieve your organisation's unique goals.

In-House / Customized Training

Interested in running this course for your team?

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