

ANTI-MONEY LAUNDERING & SANCTIONS CONTROL

“Enhancing Compliance, Detection, and Risk Management in a Global Regulatory Environment”

Schedule

Venue (InHouse)	Fees
At Your Organization Premises	Ask For The Quotation

► **Available delivery methods:** In-House Training

Introduction

This intensive training program is designed to equip professionals with in-depth knowledge of Anti-Money Laundering (AML) frameworks and global sanctions regimes. Participants will explore the legal, regulatory, and operational components of AML compliance, while gaining practical tools for transaction monitoring, customer due diligence, and sanctions screening.

Delivered through real-world case studies, interactive workshops, and risk-based exercises, the course empowers financial professionals, compliance officers, and auditors to build effective AML programs aligned with FATF, OFAC, EU, and UN standards.

Objectives

By the end of this course, participants will be able to:

- Understand the global AML regulatory landscape and sanctions frameworks
- Implement risk-based AML policies and internal controls
- Conduct effective customer due diligence and enhanced due diligence
- Identify suspicious activities and report STRs/SARs appropriately
- Apply sanctions compliance practices and screening mechanisms
- Build an AML culture of compliance within financial institutions

Why Attend

- Strengthen your ability to detect, prevent, and report financial crime
- Enhance your understanding of evolving AML legislation and sanctions policies
- Gain hands-on experience with AML systems and screening tools
- Learn from global case studies of high-profile AML and sanctions violations
- Prepare for regulatory audits and enhance institutional compliance readiness

Target Audience

This program is designed for:

- Compliance Officers and AML Analysts
- Financial Crime Investigators and Auditors
- Risk Managers and Regulatory Reporting Professionals
- Bankers, Insurers, and FinTech Compliance Staff
- Legal and Internal Control Officers handling AML/Sanctions

Individual Benefits

Key competencies that will be developed include:

- Mastery of AML frameworks and typologies
- Proficiency in customer onboarding and KYC/CDD processes
- Capability to analyze transactions for red flags and report effectively
- Understanding of global sanctions regimes and watchlists
- Skills to design and test internal AML controls and procedures

Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Strengthened institutional defenses against money laundering and sanctions breaches
- Improved compliance with FATF, OFAC, EU, and local AML regulations
- Enhanced ability to conduct internal investigations and handle regulator requests
- Reduced exposure to reputational, legal, and financial risks
- Greater alignment with best practices in AML governance

Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings - Regulatory trends, AML typologies, and enforcement case studies
- Case Studies - Analysis of real-world money laundering and sanctions violations
- Workshops - Practical exercises in transaction monitoring and risk scoring
- Peer Exchange - Group discussions on challenges in sanctions screening and AML system use
- Tools - Checklists for CDD, templates for risk assessments, and red-flag indicator lists

MAWA EVENTS

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Course Outline

DETAILED 5-DAY COURSE OUTLINE (Customizable)

Training Hours: 7:30 AM – 3:30 PM **Daily Format:** 3–4 Learning Modules | Coffee breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

Day 1: Introduction to AML & Global Regulatory Environment

- Module 1: Understanding Money Laundering (07:30 – 09:30)
 - Definition, stages, and impact of money laundering
 - Overview of financial crime and predicate offenses
 - Linkages between AML and counter-terrorist financing (CTF)
- Module 2: Global AML Regulatory Frameworks (09:45 – 11:15)
 - FATF recommendations and mutual evaluations
 - EU AML Directives and US Bank Secrecy Act
 - Role of national regulators and intergovernmental bodies
- Module 3: AML Governance and Compliance Programs (11:30 – 01:00)
 - Internal controls, AML policies, and reporting lines
 - AML roles: Compliance Officer, MLRO, front-line staff
 - Creating an AML-compliant culture

Day 2: Risk-Based Approach, KYC, and Customer Due Diligence

- Module 1: Risk-Based Approach to AML (07:30 – 09:30)
 - Identifying and assessing AML risk categories
 - Applying controls proportionate to risk exposure
 - AML risk assessments for customers and products
- Module 2: KYC/CDD/EDD Essentials (09:45 – 11:15)
 - Customer identification procedures (CIP)
 - Beneficial ownership and ultimate controlling parties
 - Enhanced due diligence (EDD) for high-risk clients
- Module 3: Ongoing Monitoring and Customer Reviews (11:30 – 01:00)
 - Periodic review cycles and red flags
 - Updating KYC profiles and managing risk rating shifts
 - Automated KYC systems and data validation

Day 3: Transaction Monitoring and Suspicious Activity Reporting

- Module 1: Transaction Monitoring Systems (07:30 – 09:30)
 - Automated rules-based vs. AI-based systems
 - Alert generation and refinement techniques
 - False positives and tuning models
- Module 2: Red Flags and Suspicious Behavior (09:45 – 11:15)
 - Industry-specific indicators of money laundering
 - Structuring, smurfing, layering, and other typologies
 - Insider abuse and third-party involvement
- Module 3: STR/SAR Preparation and Filing (11:30 – 01:00)
 - Suspicion vs. evidence: legal thresholds
 - Reporting obligations and confidentiality standards
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Best practices in drafting, reviewing, and filing reports

Day 4: Sanctions Screening and Controls

- Module 1: Sanctions Landscape and Enforcement (07:30 – 09:30)
 - Overview of OFAC, EU, UN, and local sanctions
 - Smart sanctions, sectoral sanctions, and embargoes
 - Consequences of non-compliance
- Module 2: Screening Technology and Methodologies (09:45 – 11:15)
 - Name matching algorithms and fuzzy logic
 - List management and system tuning
 - Handling alerts and performing investigations
- Module 3: Case Studies in Sanctions Failures (11:30 – 01:00)
 - Major enforcement actions and penalties
 - Analysis of gaps and lapses in controls
 - Lessons learned and corrective actions

Day 5: Internal Controls, Audits, and Future Trends

- Module 1: AML Internal Audits and Testing (07:30 – 09:30)
 - Designing and conducting AML compliance reviews
 - Testing internal policies, systems, and procedures
 - Documentation and follow-up mechanisms
- Module 2: Emerging Risks and Technologies (09:45 – 11:15)
 - Virtual assets and crypto-related risks
 - Trade-based and environmental crime laundering
 - RegTech and AI solutions in AML
- Module 3: Group Exercise and Final Wrap-Up (11:30 – 01:00)
 - Simulated transaction monitoring and SAR drafting
 - Policy review and control design simulation
 - Participant presentations and Q&A

Certification

Participants will receive a Certificate of Completion in Anti-Money Laundering & Sanctions Control, validating their expertise in financial crime prevention, AML program implementation, and sanctions compliance in line with global regulatory standards.

Why Choose MAWA Events

- **Global Expertise:** More than 17 years of experience in professional training and consulting.
- **Industry-Leading Faculty:** Courses delivered by seasoned professionals with hands-on experience.
- **Practical Insights:** Learn to turn theory into actionable strategies for real-world business impact.
- **Client-Focused Solutions:** Customized programs designed to achieve your organisation's unique goals.

In-House / Customized Training

Interested in running this course for your team?

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