

## EFFECTIVE ETHICS AND CORPORATE RESPONSIBILITY AUDIT

*“Auditing Ethical Culture, ESG Compliance, and Corporate Responsibility for Sustainable Governance”*

### Schedule

Date	Venue	Fees (Face-to-Face)
17 - 21 Aug 2026	London - UK	USD 3495 per delegate

► **Available delivery methods:** Face-to-Face & Online Training

### Introduction

As organizations face increased scrutiny from regulators, investors, and the public, auditing ethics and corporate responsibility has become a vital part of internal audit functions. A robust ethics audit assesses whether the organization’s values, behavior, and governance practices align with its stated principles and ESG (Environmental, Social, and Governance) commitments.

This 5-day training program empowers internal auditors and compliance professionals with practical tools and methodologies to audit ethical conduct, sustainability strategies, and corporate responsibility initiatives. The course combines regulatory frameworks, case studies, and hands-on workshops to ensure a comprehensive and actionable understanding of ethical and responsible business practices.

### Objectives

By the end of this course, participants will be able to:

- Understand the scope and purpose of ethics and ESG audits
- Assess organizational values, code of ethics, and compliance mechanisms
- Evaluate ESG strategies and their alignment with corporate goals
- Identify gaps and risks in ethical culture and sustainability practices
- Develop audit reports with recommendations for responsible governance

## Why Attend

- Build expertise in auditing emerging ESG and ethics standards
- Support your organization in achieving sustainable and ethical performance
- Learn how to assess ethical tone, whistleblower systems, and stakeholder impact
- Access practical templates and tools to assess ethical and CSR risks
- Improve your organization's transparency, accountability, and reputation

## Target Audience

This program is designed for:

- Internal and compliance auditors
- ESG and sustainability officers
- Corporate governance professionals
- Risk managers and ethics officers
- Senior managers responsible for ethical conduct and CSR initiatives

## Individual Benefits

Key competencies that will be developed include:

- In-depth understanding of ethics, ESG, and corporate responsibility principles
- Ability to assess integrity systems and stakeholder impact
- Enhanced audit planning, interviewing, and reporting skills
- Capability to evaluate and improve ethical performance frameworks
- Tools to support sustainable, values-based decision-making

## Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Improved oversight of ethics, ESG, and social responsibility practices
- Enhanced risk mitigation related to ethical breaches and non-compliance
- Stronger alignment of corporate strategy with sustainability values
- Increased stakeholder trust through transparent governance
- Robust internal controls for ethical conduct and ESG assurance

## Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings - Deep dive into ethics and ESG frameworks, audit methodologies, and corporate responsibility trends
- Case Studies - Real-world analysis of corporate scandals and sustainability audits
- Workshops - Practical exercises in ethical risk identification, ESG scorecard review, and audit reporting
- Peer Exchange - Group discussions on ethics challenges and CSR dilemmas across sectors
- Tools - Checklists for ethics audits, ESG risk assessments, and whistleblower policy evaluations

## MAWA EVENTS

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## Course Outline

**Training Hours:** 7:30 AM – 3:30 PM **Daily Format:** 3–4 Learning Modules | Coffee breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

### Day 1: Foundations of Ethics and Corporate Responsibility Auditing

- Module 1: Understanding Ethical Governance and ESG (07:30 – 09:30)
  - Definition and significance of ethics and ESG in business
  - Global frameworks: UNGC, OECD Guidelines, ISO 26000
  - The internal auditor's role in promoting ethical conduct
- Module 2: Key Components of Ethics Audits (09:45 – 11:15)
  - Ethics program structure and ownership
  - Code of conduct, values statement, and policy review
  - Tone at the top and leadership accountability
- Module 3: Identifying Ethical Risks and Red Flags (11:30 – 01:00)
  - Risk areas: conflicts of interest, bribery, discrimination, etc.
  - Culture assessments and employee perception tools
  - Early warning indicators and audit triggers
- Module 4: Workshop – Mapping Ethical Risks in Your Organization (02:00 – 03:30)
  - Group exercise to identify areas of concern
  - Ethical risk matrix creation
  - Sharing and discussing insights

### Day 2: Planning and Scoping an Ethics and ESG Audit

- Module 1: Defining Audit Objectives and Criteria (07:30 – 09:30)
  - Aligning audit scope with organizational values and strategies
  - Selecting audit criteria from ESG standards and ethical codes
  - Risk-based audit planning
- Module 2: Gathering Evidence and Data Sources (09:45 – 11:15)
  - Ethics surveys, hotline logs, HR records, and stakeholder reports
  - Interviewing senior leadership and employees
  - Documentation review and confidentiality
- Module 3: Evaluating ESG and CSR Programs (11:30 – 01:00)
  - Environmental footprint, social impact, and governance structures
  - KPIs for sustainability performance
  - Reviewing sustainability disclosures and reports
- Module 4: Workshop – Designing an Ethics Audit Plan (02:00 – 03:30)
  - Create a tailored audit scope and objectives
  - Select audit tools and interview guides
  - Peer feedback and facilitator insights

### Day 3: Auditing Ethical Conduct and Culture

- Module 1: Whistleblower Systems and Reporting Mechanisms (07:30 – 09:30)
  - Assessing hotline effectiveness and case management
  - Protection from retaliation and awareness campaigns
  - Benchmarking reporting trends
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**Module 2: Culture Assessments and Values Alignment (09:45 – 11:15)**

- Methods for assessing ethical culture
- Focus groups, culture audits, and survey techniques
- Role of leadership and middle management
- Module 3: Case Study – Ethics Failures and Lessons Learned (11:30 – 01:00)
- Analysis of high-profile ethics breakdowns
- Identifying root causes and audit red flags
- Preventive controls and oversight failures
- Module 4: Workshop – Evaluating Culture Audit Findings (02:00 – 03:30)
- Review of sample survey and interview results
- Synthesize findings and generate improvement ideas
- Discuss recommendations

**Day 4: Reporting, Monitoring, and ESG Integration**

- Module 1: Writing an Ethics and ESG Audit Report (07:30 – 09:30)
- Structure and content of impactful audit reports
- Rating ethical maturity and ESG alignment
- Recommendations and follow-up plans
- Module 2: Continuous Monitoring and Compliance (09:45 – 11:15)
- Ethics scorecards and dashboards
- ESG assurance and audit cycles
- Board reporting and transparency mechanisms
- Module 3: Emerging Trends in Ethics and Corporate Responsibility (11:30 – 01:00)
- AI ethics, greenwashing, stakeholder capitalism
- New regulations and investor expectations
- Role of internal audit in future ESG landscapes
- Module 4: Workshop – Drafting Audit Recommendations (02:00 – 03:30)
- Write recommendations for sample ethical and ESG issues
- Prioritize based on risk and feasibility
- Group review and presentation

**Day 5: Strategic Alignment and Action Planning**

- Module 1: Ethics and ESG in Corporate Strategy (07:30 – 09:30)
- Linking values with business goals
- Ethics as a driver of innovation and resilience
- ESG as a competitive advantage
- Module 2: Stakeholder Engagement and Communication (09:45 – 11:15)
- Internal and external stakeholders in ethics audits
- Communicating values, goals, and impact transparently
- Reporting mechanisms and investor relations
- Module 3: Final Review and Roadmap for Audit Maturity (11:30 – 01:00)
- Ethics and ESG audit maturity models
- Building sustainable internal audit capacity
- Personal development goals
- Module 4: Final Assessment and Wrap-Up (02:00 – 03:30)

Action planning and course reflections

- Knowledge check and participant feedback
- Certificate presentation

### Certification

Participants will receive a Certificate of Completion in Ethics and Corporate Responsibility Auditing, recognizing their expertise in evaluating ethical culture, ESG compliance, and corporate responsibility within organizations.

### Why Choose MAWA Events

- **Global Expertise:** More than 17 years of experience in professional training and consulting.
- **Industry-Leading Faculty:** Courses delivered by seasoned professionals with hands-on experience.
- **Practical Insights:** Learn to turn theory into actionable strategies for real-world business impact.
- **Client-Focused Solutions:** Customized programs designed to achieve your organisation's unique goals.

<p><b>In-House / Customized Training</b></p> <p>Interested in running this course for your team?</p> <p>Please contact us:</p>	<p>TEL:</p> <p><b>+601116373203</b></p>	<p>EMAIL:</p> <p><b>info@mawaevents.net</b></p>
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