MAWA EVENTS

Address: No. 857, Block A2. Leisure Commerce Square - No 9., 46150 Petaling Jaya, Selangor, Malaysia

Phone: +601116373203 | **Email:** info@mawaevents.net

EFFECTIVE TAX COMPLIANCE AUDIT

"Ensuring Transparency, Accuracy, and Compliance with Tax Regulations"

Schedule

Date	Venue	Fees (Face-to-Face)
23 – 27 Nov 2026	London – UK	USD 3495 per delegate

► **Available delivery methods:** Face-to-Face & Online Training

Introduction

Tax compliance is an increasingly critical component of corporate governance, with growing scrutiny from regulatory authorities across jurisdictions. An effective tax compliance audit ensures that organizations fulfill their tax obligations while minimizing risks of penalties, reputational damage, and operational disruptions.

This training provides professionals with a practical, end-to-end understanding of tax compliance audits, from planning and documentation to execution and reporting. Participants will gain tools to assess compliance with local and international tax laws, conduct risk assessments, and implement effective internal controls.

Objectives

By the end of this course, participants will be able to:

- Understand the regulatory landscape for tax compliance at domestic and international levels
- Plan, conduct, and report on tax compliance audits effectively
- Identify tax risks and evaluate control frameworks
- Review documentation, returns, and accounting records for compliance
- Ensure alignment with OECD, FATCA, BEPS, and other global tax initiatives

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Why Attend

- Gain a structured approach to auditing corporate tax compliance
- Ensure readiness for external tax audits and regulatory inquiries
- Minimize financial exposure due to tax errors, omissions, or fraud
- Improve tax documentation, processes, and internal controls
- Understand how to align tax risk with enterprise risk management frameworks

Target Audience

This program is designed for:

- Tax auditors and compliance officers
- Finance and accounting professionals responsible for tax reporting
- Internal auditors and risk managers
- Corporate controllers and legal advisors
- Anyone involved in managing or reviewing tax obligations

Individual Benefits

Key competencies that will be developed include:

- Tax compliance auditing methodology
- Risk-based audit planning and documentation review
- Ability to assess tax control frameworks and governance
- Knowledge of regulatory obligations and reporting requirements
- Skills to communicate audit findings and recommend improvements

Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Enhanced assurance over tax accuracy and regulatory compliance
- Reduced risk of penalties, interest, and reputational harm
- Improved documentation and audit readiness for tax authorities
- Strengthened tax governance and transparency practices
- Better alignment between finance, audit, and compliance functions

Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings – Overview of tax audit frameworks, regulatory standards, and compliance trends
- Case Studies – Real-world examples of audit failures and best practices
- Workshops – Hands-on exercises in tax risk identification and audit planning
- Peer Exchange – Discussions on jurisdictional challenges and audit approaches
- Tools – Checklists, templates, and reporting formats for audit execution

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Course Outline

Detailed 5-Day Course Outline

Training Hours: 7:30 AM – 3:30 PM **Daily Format:** 3–4 Learning Modules | Coffee breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

Day 1: Tax Compliance and Audit Foundations

- Module 1: Tax Compliance Overview (07:30 – 09:30) • Key tax types: income tax, VAT/GST, withholding, corporate taxes • Compliance obligations and timelines • Common risks and penalties
- Module 2: Tax Audit Frameworks and Standards (09:45 – 11:15) • Internal vs. external tax audits • Auditing standards, OECD guidance, FATCA, BEPS compliance • Tax control frameworks and governance
- Module 3: Workshop – Identifying Tax Risks (11:30 – 01:00) • Tax risk registers and risk prioritization tools
- Module 4: Peer Exchange – Compliance Challenges in Practice (02:00 – 03:30) • Group dialogue on national vs. cross-border tax audits

Day 2: Planning and Conducting a Tax Audit

- Module 5: Risk-Based Audit Planning (07:30 – 09:30) • Scoping audits and defining objectives • Materiality thresholds and sample selection • Engagement planning and stakeholder coordination
- Module 6: Review of Tax Filings and Returns (09:45 – 11:15) • Verifying filings, declarations, and submissions • Tracing reported values to accounting records
- Module 7: Workshop – Audit Program Design (11:30 – 01:00) • Creating a structured audit plan for corporate taxes
- Module 8: Case Study – Audit Planning Gone Wrong (02:00 – 03:30) • Lessons learned from real-world oversights

Day 3: Tax Documentation and Substantiation

- Module 9: Reviewing Source Documentation (07:30 – 09:30) • Contracts, invoices, payment records, and GL entries • Withholding and indirect tax evidence trails
- Module 10: Intercompany and Transfer Pricing Documentation (09:45 – 11:15) • Requirements for intra-group transactions • Local file, master file, and benchmarking review
- Module 11: Workshop – Substantiation Checklists (11:30 – 01:00) • Applying documentation controls to case data
- Module 12: Peer Discussion – Documentation Gaps (02:00 – 03:30) • Group critique of sample audit trails

Day 4: Managing Findings and Reporting

- Module 13: Identifying Non-Compliance and Red Flags (07:30 – 09:30) • Red flags: misstatements, omissions, documentation weaknesses • Tax fraud indicators and misclassification risks
- Module 14: Reporting Audit Results (09:45 – 11:15) • Preparing audit reports, risk ratings, and recommendations • Communicating findings to senior management and tax authorities
- Module 15: Workshop – Drafting a Tax Audit Report (11:30 – 01:00) • Structure and tone of a compliance audit report
- Module 16: Case Study – Handling a Disputed Finding (02:00 – 03:30) • Escalation, response, and resolution strategies

Day 5: Controls, Remediation and Readiness

- Module 17: Strengthening Tax Controls (07:30 – 09:30) • Designing preventive and detective controls • Integrating controls into ERP and compliance systems
- Module 18: Preparing for External Audit or Tax Authority Reviews (09:45 – 11:15) • Tax audit preparedness checklists • Managing tax inquiries and audit defenses
- Module 19: Final Project – Compliance Audit Simulation (11:30 – 01:00) • Conduct and report on a simulated tax audit
- Module 20: Wrap-Up, Feedback, and Certification (02:00 – 03:30) • Course debrief and toolkit distribution

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Certification

Participants will receive a Certificate of Completion in Effective Tax Compliance Audit, verifying their ability to plan, conduct, and report on tax compliance audits aligned with regulatory expectations and international standards.

Why Choose MAWA Events

- **Global Expertise:** More than 17 years of experience in professional training and consulting.
- **Industry-Leading Faculty:** Courses delivered by seasoned professionals with hands-on experience.
- **Practical Insights:** Learn to turn theory into actionable strategies for real-world business impact.
- **Client-Focused Solutions:** Customized programs designed to achieve your organisation's unique goals.

In-House / Customized Training Interested in running this course for your team? Please contact us:	TEL: **+601116373203**	EMAIL: **info@mawaevents.net**

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