

## TRADE FINANCE AND COMPLIANCE TRAINING

“Mitigating Risk and Ensuring Regulatory Compliance in Global Trade Transactions”

### Schedule

Date	Venue	Fees (Face-to-Face)
02 – 06 Nov 2026	Dubai, UAE	USD 3495 per delegate

► **Available delivery methods:** Face-to-Face & Online Training

### Introduction

In today's complex global trade environment, organizations face increasing regulatory scrutiny, financial risks, and documentary requirements. Trade finance professionals must not only structure secure transactions but also ensure compliance with international rules, sanctions, and anti-money laundering regulations.

This intensive 5-day course equips finance, compliance, and trade professionals with the essential knowledge and tools to manage trade finance operations confidently and compliantly. From letters of credit and guarantees to AML controls and trade-based money laundering detection, this program provides a comprehensive, practical approach to secure international trade.

### Objectives

By the end of this course, participants will be able to:

- Understand trade finance instruments such as L/Cs, collections, and guarantees
- Apply international rules (UCP 600, ISP98, URDG 758, Incoterms® 2020) in daily operations
- Identify compliance risks, sanctions exposures, and TBML red flags
- Integrate KYC, AML, and dual-use goods controls into trade processes
- Design internal controls and due diligence programs for compliant trade finance

## Why Attend

- Reduce the risk of trade fraud, sanctions breaches, and regulatory penalties
- Ensure alignment with international best practices and legal standards
- Strengthen internal controls, audit readiness, and transaction transparency
- Enhance collaboration between trade, compliance, and banking teams
- Stay updated on global developments in trade-based financial crime

## Target Audience

This program is designed for:

- Trade Finance Officers and Bankers
- Compliance and AML Professionals
- Import/Export Managers and Trade Operations Staff
- Internal Auditors and Legal Counsel
- Corporate Treasury and Risk Management Professionals

## Individual Benefits

Key competencies that will be developed include:

- Structuring and reviewing trade finance documentation
- Screening for sanctions, dual-use goods, and deceptive trade practices
- Understanding international rules governing trade instruments
- Assessing and mitigating financial crime risks in trade
- Executing effective trade audits and investigations

## Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Stronger regulatory compliance in global trade operations
- Lower financial and reputational risk exposure
- Improved documentation and risk management practices
- Efficient cooperation between commercial and compliance functions
- Readiness for external inspections, audits, and customer due diligence

## Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings - Trade finance principles and global compliance frameworks
- Case Studies - Fraud detection, sanctions violations, and TBML typologies
- Workshops - L/C structuring, compliance screening, and policy design
- Peer Exchange - Interactive discussions and shared operational challenges
- Tools - Templates for KYC, sanctions screening, and trade transaction checklists

## Course Outline

### DETAILED 5-DAY COURSE OUTLINE

**Training Hours:** 07:30 AM – 03:30 PM **Daily Format:** 3–4 Learning Modules | Coffee breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

#### Day 1: Fundamentals of Trade Finance

- Module 1: Overview of Trade Finance Instruments (07:30 – 09:30) • Letters of credit, bills for collection, open account, and supply chain finance
- Module 2: Understanding UCP 600 and Documentary Requirements (09:45 – 11:15) • Compliance with UCP, L/C clauses, and discrepancies
- Module 3: Guarantees and Standby Credits – ISP98 and URDG 758 (11:30 – 01:00) • Types, uses, and legal frameworks
- Module 4: Workshop – Trade Documentation Review (02:00 – 03:30) • Participants analyze sample L/Cs and document sets

#### Day 2: International Trade Rules and Risk Management

- Module 5: Incoterms® 2020 and Contractual Obligations (07:30 – 09:30) • Responsibility, risk transfer, and cost allocation
- Module 6: Managing Credit, Country, and Counterparty Risk (09:45 – 11:15) • Assessment tools and risk mitigation techniques
- Module 7: Insurance, Claims, and Political Risk Cover (11:30 – 01:00) • Role of ECAs, private insurers, and claim procedures
- Module 8: Workshop – Risk Analysis in a Cross-Border Trade (02:00 – 03:30) • Case-based group exercise on structuring a safe deal

#### Day 3: Trade Compliance Essentials

- Module 9: Regulatory Landscape and Sanctions Frameworks (07:30 – 09:30) • OFAC, EU, UN sanctions, and trade restrictions
- Module 10: Dual-Use Goods, Export Controls, and Red Flags (09:45 – 11:15) • Classification, end-use screening, and licensing issues
- Module 11: AML and CDD in Trade Transactions (11:30 – 01:00) • Customer due diligence, transaction monitoring, and TBML typologies
- Module 12: Workshop – Sanctions and Red Flag Review (02:00 – 03:30) • Evaluating real-world trade compliance scenarios

#### Day 4: Internal Controls, Investigations, and Documentation

- Module 13: Designing Internal Controls for Trade Compliance (07:30 – 09:30) • Policies, procedures, and staff training
- Module 14: Auditing Trade Transactions and Investigations (09:45 – 11:15) • Audit checklists, red flag detection, and documentation trails
- Module 15: Correspondent Banking and Trade Flow Transparency (11:30 – 01:00) • SWIFT messaging, due diligence, and bank expectations
- Module 16: Workshop – Drafting a Trade Compliance Policy (02:00 – 03:30) • Participants create a basic framework for internal compliance

#### Day 5: Emerging Trends and Future-Ready Practices

- Module 17: Digitization in Trade – Blockchain, eDocs, and AI (07:30 – 09:30) • Electronic bills of lading, smart contracts, and fintech innovation
- Module 18: ESG and Sustainability in Trade Finance (09:45 – 11:15) • Green trade, ESG due diligence, and sustainable sourcing
- Module 19: Final Case Study – End-to-End Trade Transaction Review (11:30 – 01:00) • Integrated review of compliance and finance in a complex trade
- Module 20: Review, Feedback, and Certification (02:00 – 03:30) • Key takeaways and individual action planning

## Certification

Participants will receive a Certificate of Completion in Trade Finance and Compliance, confirming their capability to manage trade transactions with diligence, accuracy, and regulatory compliance in a global context.

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