

PRACTICAL GUIDE TO IMPLEMENTATION OF BASEL I / II / III

"Achieve Risk-Based Regulatory Compliance through Effective Basel Framework Implementation"

Schedule

Date	Venue	Fees (Face-to-Face)
20 - 22 Oct 2026	Doha, Qatar	USD 2495 per delegate

► **Available delivery methods:** Face-to-Face & Online Training

Introduction

The Basel Accords (I, II, and III) provide an evolving global framework for bank supervision, focused on risk sensitivity, capital adequacy, market discipline, and systemic stability. Understanding and applying the Basel standards is critical for financial institutions aiming to meet regulatory expectations, improve risk management, and sustain long-term resilience.

This practical and comprehensive 3-day course walks participants through the history, core requirements, and implementation steps of Basel I, II, and III. It covers the Standardized and Internal Ratings-Based (IRB) approaches, Pillar 2 risk management practices, and Pillar 3 disclosure obligations, with emphasis on real-world tools and compliance strategies.

Objectives

By the end of this course, participants will be able to:

- Understand the evolution, objectives, and scope of Basel I, II, and III
- Apply capital adequacy concepts across credit, market, and operational risk
- Implement Basel compliance frameworks across all three Pillars
- Integrate Basel III reforms including leverage ratios, capital buffers, and liquidity requirements
- Prepare for supervisory review and improve internal Basel governance

Why Attend

- Learn how Basel rules impact capital planning, risk management, and disclosure
- Gain clarity on regulatory expectations and reporting standards
- Develop internal frameworks for Basel implementation, monitoring, and governance
- Bridge the gap between theory and practice with practical tools and compliance case studies
- Build internal capacity to align capital adequacy with business and risk strategy

Target Audience

This program is designed for:

- Risk and compliance officers in banks and financial institutions
- Regulatory reporting specialists and Basel implementation teams
- Finance professionals involved in capital planning and internal controls
- Internal auditors, legal, and treasury teams supporting regulatory compliance
- Supervisory and policy personnel from central banks or regulatory agencies

Individual Benefits

Key competencies that will be developed include:

- Basel capital calculation methodologies
- Internal capital adequacy assessment (ICAAP) preparation
- Basel-aligned credit, market, and operational risk management
- Liquidity coverage and net stable funding ratio understanding
- Pillar 3 disclosure and risk transparency practices

Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Improved alignment between capital requirements and risk appetite
- Enhanced internal risk governance and policy compliance
- Better preparedness for regulatory audits and stress testing
- Stronger disclosure practices and transparency
- Streamlined implementation of Basel frameworks across business units

Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings - Basel framework evolution and core capital principles
- Case Studies - Implementation lessons and compliance benchmarking
- Workshops - Risk-weighted asset (RWA) calculation, liquidity metrics, and ICAAP planning
- Peer Exchange - Sector-specific challenges and regulatory developments
- Tools - Templates for Basel reporting, Pillar 2 documentation, and RWA analytics

Course Outline

DETAILED 3-DAY COURSE OUTLINE

Training Hours: 7:30 AM – 3:30 PM **Daily Format:** 3–4 Learning Modules | Coffee breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

Day 1: Basel I & II – Foundation and Evolution

- Module 1: Overview of Basel Accords (07:30 – 09:30) • Background, timeline, and objectives of Basel I, II, and III • Capital adequacy and systemic risk
- Module 2: Basel I & Credit Risk Weights (09:45 – 11:15) • Risk-weighted asset (RWA) concepts • 1988 Accord and basic capital requirements
- Module 3: Basel II Pillar 1 – Risk Measurement Approaches (11:30 – 01:00) • Credit Risk: Standardized vs. IRB approaches • Market and Operational Risk: standardized and AMA
- Module 4: Workshop – Credit Risk Capital Calculation (02:00 – 03:30) • Hands-on exercises using credit exposure data

Day 2: Basel III – Capital, Leverage & Liquidity

- Module 1: Basel III Capital Reform (07:30 – 09:30) • CET1, AT1, and Tier 2 Capital structure • Capital conservation and counter-cyclical buffers
- Module 2: Leverage Ratio and Risk Coverage (09:45 – 11:15) • Understanding leverage and exposure definitions • Capital requirements for off-balance exposures
- Module 3: Liquidity Standards: LCR & NSFR (11:30 – 01:00) • Definitions, calculation, and policy implications • Cashflow mismatches and stress scenarios
- Module 4: Workshop – Basel III Metrics Simulation (02:00 – 03:30) • Apply Basel III ratios to a sample bank balance sheet

Day 3: Pillars 2 & 3 – Implementation and Governance

- Module 1: ICAAP and Supervisory Review (07:30 – 09:30) • Building an Internal Capital Adequacy Assessment Process • Linking risk appetite, business plans, and stress testing
- Module 2: Pillar 3 Disclosure and Transparency (09:45 – 11:15) • Public disclosure requirements and compliance best practices
- Module 3: Basel Governance and Internal Controls (11:30 – 01:00) • Committee structures, policy frameworks, and documentation standards
- Module 4: Final Workshop – Basel Implementation Roadmap (02:00 – 03:30) • Develop a high-level Basel compliance plan for a sample bank

Certification

Participants will receive a Certificate of Completion in Practical Guide to Implementation of Basel I / II / III, validating their ability to understand, implement, and manage capital adequacy and regulatory frameworks aligned with Basel requirements and supervisory expectations.

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