

## COMPLIANCE & RISK CAPITAL MANAGEMENT

“Optimizing Risk and Capital Management Strategies for Financial Institutions”

### Schedule

Date	Venue	Fees (Face-to-Face)
29 - 30 Apr 2026	Dubai, UAE	USD 1995 per delegate

► Available delivery methods: Face-to-Face & Online Training

### Introduction

In today's complex financial landscape, effective risk capital management and compliance are critical to safeguarding an organization's financial stability and ensuring long-term success. This 2-day course provides in-depth knowledge of risk capital management strategies and compliance best practices. Participants will learn how to manage various risks, including credit, market, and operational risks, while ensuring compliance with regulations such as the Basel Accords and other international standards. The course will focus on understanding the interplay between capital allocation, risk management, and regulatory requirements to enhance organizational resilience and performance.

### Objectives

By the end of this course, participants will be able to:

- Understand the key principles of risk capital management and its role in financial stability
- Identify and assess various types of risks, including credit, market, and operational risks
- Apply the Basel framework for risk-based capital management and compliance
- Develop and implement strategies to optimize capital allocation while maintaining compliance
- Measure, monitor, and report on risk capital in alignment with regulatory requirements

## Why Attend

- Gain comprehensive knowledge of the key principles and practices in risk capital management
- Understand the regulatory framework for capital adequacy and compliance, including Basel III and other international standards
- Learn how to assess and manage risks effectively within financial institutions
- Improve your ability to balance risk-taking with capital preservation for optimal financial performance
- Enhance your organizational compliance practices and ensure adherence to regulatory guidelines

## Target Audience

This program is designed for:

- Risk managers and compliance officers in financial institutions
- Finance managers and executives responsible for capital management
- Internal and external auditors involved in risk and compliance audits
- Professionals working in credit, market, and operational risk management
- Individuals seeking to understand and implement capital management strategies within financial organizations

## Individual Benefits

Key competencies that will be developed include:

- Advanced knowledge of risk capital management strategies in financial institutions
- Expertise in managing credit, market, and operational risks in line with regulatory requirements
- Understanding of compliance frameworks such as Basel III and how to implement them effectively
- Ability to optimize capital allocation and improve risk-adjusted returns
- Skills in measuring and monitoring capital requirements and risk exposures

## Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Enhanced ability to manage and allocate capital effectively in line with risk management practices
- Strengthened compliance with regulatory frameworks such as Basel III, which improves financial resilience
- Improved risk mitigation strategies, leading to reduced exposure to operational and financial risks
- Increased capacity to meet regulatory reporting requirements and manage risk-based capital
- A more efficient and compliant risk management framework that drives business performance

## Instructional Methodology

- Lectures on the principles and strategies of risk capital management
- Case studies to explore real-world examples of risk management and compliance practices
- Interactive discussions on the implementation of compliance frameworks and risk capital strategies
- Workshops for applying risk capital management concepts to specific scenarios
- Practical exercises to develop strategies for optimizing capital and ensuring compliance

## Course Outline

**Training Hours: 07:30 AM - 03:30 PM** Daily Format: 3-4 Learning Modules | Coffee Breaks: 09:30 & 11:15 | Lunch Break: 01:00 - 02:00

### Day 1: Introduction to Risk Capital Management and Regulatory Compliance

- **Module 1: The Fundamentals of Risk Capital Management (07:30 - 09:30)** • Understanding risk capital and its role in financial stability • Overview of risk types: credit, market, and operational risks • Principles of capital allocation and optimization
- **Module 2: The Basel Accords and Regulatory Requirements (09:45 - 11:15)** • Overview of Basel I, II, and III and their impact on capital adequacy • Key regulatory requirements for risk capital management • The role of capital buffers, liquidity ratios, and stress testing
- **Module 3: Workshop - Assessing Capital Adequacy (11:30 - 01:00)** • Participants will apply the Basel framework to evaluate capital adequacy for a financial institution

### Day 2: Implementing Risk Capital Strategies and Compliance Frameworks

- **Module 4: Risk Management Strategies for Credit, Market, and Operational Risks (07:30 - 09:30)** • Understanding risk measurement techniques (VaR, stress testing, credit ratings) • Managing and mitigating credit and market risk exposures • Strategies for addressing operational risks in financial institutions
- **Module 5: Compliance and Reporting for Risk Capital Management (09:45 - 11:15)** • Compliance with regulatory reporting standards (e.g., Pillar 3 disclosures) • Tools for monitoring and reporting on risk capital • The role of internal controls and governance in ensuring compliance
- **Module 6: Workshop - Developing a Risk Capital Strategy (11:30 - 01:00)** • Participants will develop a comprehensive risk capital strategy and compliance framework for a financial institution

## Certification

Upon successful completion of this course, participants will receive a Certificate of Completion in Compliance & Risk Capital Management, demonstrating their ability to manage and implement risk capital strategies and ensure compliance with relevant regulations in financial institutions.

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