

ISO 37301:2021 COMPLIANCE MANAGEMENT SYSTEMS (CMS)

“Implementing and Auditing Effective CMS Frameworks in Line with International Standards”

Schedule

Date	Venue	Fees
19 - 23 Apr 2026	Doha, Qatar	USD 3495 per delegate

► **Available delivery methods:** Face-to-Face & Online Training

Introduction

In a world of increasing regulatory scrutiny, reputational risks, and stakeholder expectations, organizations need structured systems to ensure compliance and ethical conduct. ISO 37301:2021 provides a globally recognized framework for designing, implementing, maintaining, and continuously improving a Compliance Management System (CMS).

This intensive 5-day course equips participants with in-depth knowledge and practical skills to implement or audit an ISO 37301-compliant CMS. It emphasizes risk-based thinking, leadership commitment, due diligence, and embedding a culture of compliance across all functions.

Objectives

By the end of this course, participants will be able to:

- Understand the structure, principles, and requirements of ISO 37301:2021
- Implement an effective compliance management framework
- Identify compliance obligations, assess risks, and develop controls
- Conduct internal audits and compliance performance evaluations
- Foster a culture of integrity, transparency, and accountability

Why Attend

- Learn how to align your organization with international compliance standards
- Gain practical tools to build, evaluate, and continuously improve CMS processes
- Enhance governance and reduce legal, regulatory, and ethical risks
- Prepare your organization for ISO 37301 certification or benchmarking
- Strengthen your internal audit and compliance monitoring capabilities

Target Audience

This program is designed for:

- Compliance officers and internal auditors
- Risk management and governance professionals
- Legal and regulatory affairs specialists
- Management system implementers and consultants
- Senior managers responsible for ethics and compliance functions

Individual Benefits

Key competencies that will be developed include:

- Interpretation and application of ISO 37301 clauses
- Compliance risk assessment and controls development
- Internal auditing techniques and non-conformance handling
- CMS documentation and performance reporting
- Ethical leadership and compliance communication

Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Robust and auditable compliance management systems
- Improved risk management and regulatory readiness
- Enhanced reputation, stakeholder trust, and ethical conduct
- Consistent and measurable compliance across departments
- Alignment with ESG, anti-bribery, and corporate governance frameworks

Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings - ISO 37301 overview, compliance trends, and legal context
- Case Studies - Global compliance failures and system improvement actions
- Workshops - Gap analysis, documentation review, and audit simulation
- Peer Exchange - Industry-specific compliance risks and solutions
- Tools - CMS templates, audit checklists, risk registers, and policy samples

Course Outline

Training Hours: 07:30 AM - 03:30 PM Daily Format: 3-4 Learning Modules | Coffee Breaks: 09:30 & 11:15 | Lunch Break: 01:00 - 02:00

Day 1: Foundations of Compliance Management Systems

- Module 1: Introduction to ISO 37301:2021 (07:30 - 09:30) • History and purpose of the standard • Principles and scope of a CMS
- Module 2: Compliance Context and Leadership Commitment (09:45 - 11:15) • Understanding organizational context and stakeholders • Role of leadership and governance in compliance
- Module 3: Workshop - Compliance Gap Assessment (11:30 - 01:00) • Evaluate your organization's current compliance maturity

Day 2: Planning and Compliance Risk Management

- Module 4: Compliance Risk Identification and Assessment (07:30 - 09:30) • Risk-based approach to compliance • Methods to assess legal, ethical, and operational risks
- Module 5: Compliance Obligations and Objective Setting (09:45 - 11:15) • Mapping laws, regulations, codes, and internal policies • Setting compliance objectives and planning actions
- Module 6: Workshop - Risk Mapping and Controls (11:30 - 01:00) • Develop a compliance risk register and control matrix

Day 3: Implementation and Operations

- Module 7: CMS Operational Planning and Support (07:30 - 09:30) • Resources, competencies, and awareness programs • Communication and documentation requirements
- Module 8: Operational Controls and Due Diligence (09:45 - 11:15) • Third-party compliance, procurement, and data handling • Controls over sensitive and high-risk areas
- Module 9: Workshop - Compliance Control Scenarios (11:30 - 01:00) • Design controls for common compliance challenges

Day 4: Performance Evaluation and Auditing

- Module 10: Monitoring, Measurement, and Evaluation (07:30 - 09:30) • Key performance indicators for compliance • Nonconformities and root cause analysis
- Module 11: Internal Audit of the CMS (09:45 - 11:15) • Audit planning, checklists, and evidence collection • Conducting and reporting compliance audits
- Module 12: Workshop - Internal Audit Simulation (11:30 - 01:00) • Plan and execute a mock CMS audit

Day 5: Continual Improvement and Culture

- Module 13: Management Review and Corrective Actions (07:30 - 09:30) • Inputs, outputs, and follow-up strategies • Corrective action plans and learning integration
- Module 14: Building a Compliance Culture (09:45 - 11:15) • Ethics programs, tone at the top, and speak-up mechanisms • Role of training, recognition, and accountability
- Module 15: Final Workshop - CMS Implementation Plan (11:30 - 01:00) • Develop a tailored CMS roadmap for your organization

Certification

Participants will receive a Certificate of Completion in ISO 37301:2021 Compliance Management Systems, validating their ability to implement, assess, and continuously improve compliance frameworks aligned with global standards.

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