

ENTERPRISE RISK MANAGEMENT FOR FINANCIAL INSTITUTIONS

“Strengthening Risk Governance, Compliance, and Resilience in the Financial Sector”

Schedule

Date	Venue	Fees (Face-to-Face)
01 - 03 Sep 2026	Manama, Bahrain	USD 2495 per delegate
06 - 08 Oct 2026	Doha, Qatar	USD 2495 per delegate

► Available delivery methods: Face-to-Face & Online Training

Introduction

Financial institutions operate in increasingly complex environments marked by regulatory scrutiny, cyber threats, market volatility, and evolving client expectations. To manage these risks holistically and strategically, banks and financial firms are adopting Enterprise Risk Management (ERM) frameworks that integrate risk across functions and decision-making processes.

This focused 3-day course equips professionals in the financial sector with the knowledge and tools to build and implement ERM systems that go beyond compliance—enhancing risk transparency, resilience, and long-term performance. Using sector-specific case studies and regulatory insights, the course guides participants through practical risk integration techniques across credit, market, operational, cyber, and strategic risk domains.

Objectives

By the end of this course, participants will be able to:

- Understand key ERM frameworks (COSO, ISO 31000) tailored for financial institutions
- Identify, assess, and monitor major financial risks (credit, market, liquidity, operational, cyber)
- Design and implement an ERM framework that aligns with regulatory expectations and business strategy
- Use risk indicators, appetite statements, and dashboards to support governance and performance
- Strengthen enterprise-wide risk culture and reporting across all business units

Why Attend

- Learn how to apply ERM principles within banking, insurance, and investment firms
- Align risk management with capital planning, profitability, and regulatory strategy
- Explore leading practices in KRI development, stress testing, and scenario planning
- Ensure compliance with Basel III/IV, IFRS 9, and local central bank frameworks
- Benchmark your ERM program against international financial institutions

Target Audience

This program is designed for:

- Risk managers, CROs, and compliance officers in banks and financial institutions
- Internal auditors and governance professionals
- Treasury, finance, and strategy executives
- Regulators and central bank professionals
- Consultants and service providers supporting financial risk functions

Individual Benefits

Key competencies that will be developed include:

- Financial risk identification and mitigation
- Building integrated risk frameworks within financial organizations
- Applying KRI, stress testing, and control monitoring tools
- Translating risk data into executive-level insights
- Enhancing communication with regulators and board members

Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Enhanced compliance and readiness for regulatory inspections
- Better alignment of risk appetite with business strategy and capital planning
- Improved coordination between operational units and risk/compliance teams
- Greater transparency in risk reporting and decision support
- A culture of risk ownership embedded throughout the organization

Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings - Focused discussions on sector-specific risk and regulatory priorities
- Case Studies - Failures and successes in financial ERM implementation
- Workshops - Hands-on sessions for mapping risks and building control frameworks
- Peer Exchange - Industry insights and group problem-solving
- Tools - Sample risk registers, appetite statements, control self-assessment templates

Course Outline

DETAILED 3-DAY COURSE OUTLINE

Training Hours: 7:30 AM - 3:30 PM Daily Format: 3 Learning Modules | Coffee Breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 - 02:00

Day 1: Foundations of ERM in Financial Institutions

- Module 1: ERM Frameworks and Governance (07:30 - 09:30) • COSO and ISO 31000 for the financial sector • ERM maturity models and risk governance structures • Role of the board, CRO, and risk committees
- Module 2: Core Financial Risks - Credit, Market, Liquidity (09:45 - 11:15) • Risk drivers and regulatory expectations • Risk identification and quantification approaches • Linkages to capital adequacy and ICAAP
- Module 3: Risk Appetite and KRI Development (11:30 - 01:00) • Creating effective risk appetite statements • Selecting and monitoring key risk indicators • Aligning with business units and performance goals
- Workshop - Risk Register Creation (02:00 - 03:30) • Identify key risks in your institution • Build a basic risk inventory with KRIs

Day 2: Operational, Cyber, and Strategic Risk Management

- Module 1: Operational and Technology Risks (07:30 - 09:30) • Risk events, control failures, and loss databases • Cyber risk, fraud, and outsourcing challenges • Risk control self-assessment (RCSA)
- Module 2: Scenario Analysis and Stress Testing (09:45 - 11:15) • Regulatory stress testing requirements • Designing plausible, impactful scenarios • Integrating stress test results with decision-making
- Module 3: Strategic and Reputational Risk (11:30 - 01:00) • Link between strategy execution and risk • Evaluating risks in new markets, products, and partnerships • Reputation management frameworks
- Workshop - Control Mapping and Risk Scenario Planning (02:00 - 03:30) • Map controls to key risks • Build a scenario around a cyber or market event

Day 3: Integration, Reporting, and Culture

- Module 1: Risk Integration Across the Enterprise (07:30 - 09:30) • Connecting risk with planning, audit, compliance, and strategy • Aligning ERM with Basel, IFRS 9, AML/CFT, and ESG risk frameworks • Structuring three lines of defense
- Module 2: Risk Reporting and Communication (09:45 - 11:15) • Dashboards and risk heat maps for leadership • Aggregating risks and trends for board reporting • Communicating risk to non-experts
- Module 3: Building Risk Culture and Ownership (11:30 - 01:00) • Training and awareness campaigns • Embedding risk in performance metrics • Incentivizing risk-informed behaviors
- Final Workshop - ERM Maturity Action Plan (02:00 - 03:30) • Assess current ERM level • Define practical next steps for implementation

Certification

Participants will receive a Certificate of Completion in Enterprise Risk Management for Financial Institutions, confirming their expertise in managing integrated risk across the banking and financial services sector in line with global regulatory and strategic demands.

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