MAWA EVENTS

Address: No. 857, Block A2. Leisure Commerce Square - No 9., 46150 Petaling Jaya, Selangor, Malaysia

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OPERATIONAL RISK MANAGEMENT & MITIGATION

"Identify, Assess, and Minimize Operational Risks to Safeguard Financial Performance and Regulatory Compliance"

Schedule

Date	Venue	Fees (Face-to-Face)
05 - 06 Mar 2026	Kuala Lumpur, Malaysia	USD 1995 per delegate
01 - 02 Apr 2026	Doha, Qatar	USD 1995 per delegate

► **Available delivery methods:** Face-to-Face & Online Training

Introduction

Operational risk is inherent in every function of a financial institution—from internal processes and human error to system failures and external events. When left unmanaged, these risks can lead to significant losses, reputational damage, and regulatory penalties.

This 2-day intensive course is designed to help professionals understand the sources of operational risk, assess their impact, and implement effective mitigation strategies. Participants will explore frameworks such as Basel III/IV and ISO 31000, gain practical tools for risk identification, and learn how to build a risk-aware culture across the organization

Objectives

By the end of this course, participants will be able to:

- Understand the definition and scope of operational risk within financial services
- Apply risk identification, assessment, and control techniques
- Develop a risk register and evaluate inherent vs. residual risk
- Implement key risk indicators (KRIs) and loss event data tracking
- Align operational risk practices with international standards and compliance mandates
- Foster a proactive risk culture across departments

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Why Attend

- Build foundational and practical knowledge in managing operational risks
- Reduce loss exposure and regulatory non-compliance
- Gain practical skills in mapping risk events and designing controls
- Learn how to embed risk management into day-to-day operations
- Understand emerging risks in digitization, outsourcing, and fraud

Target Audience

This program is designed for:

- Risk management and compliance officers
- Internal auditors and control managers
- Operational managers in banking and financial services
- Finance professionals involved in governance and regulatory reporting
- Business continuity and enterprise risk professionals

Individual Benefits

Key competencies that will be developed include:

- Operational risk taxonomy and classification
- RCSA (Risk Control Self Assessment) execution
- KRI development and monitoring
- Root cause analysis and control design
- Regulatory knowledge of Basel and ISO frameworks

Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Improved operational resilience and reduced process failures
- Enhanced regulatory and audit readiness
- Stronger governance and transparency in risk practices
- Reduced losses from operational events and fraud
- Integration of risk controls across business lines

Instructional Methodology

This course uses an interactive, problem-solving approach:

- Briefings – Risk frameworks, case laws, and industry best practices
- Case Studies – Operational risk failures and lessons learned
- Workshops – Risk mapping, scoring, and mitigation planning
- Peer Exchange – Real-world practices and institutional comparisons
- Tools – Risk register templates, RCSA formats, and control checklists

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Course Outline

Training Hours: 7:30 AM – 3:30 PM Daily Format: 3–4 Learning Modules | Coffee breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

Day 1: Operational Risk Foundations

- Module 1: Understanding Operational Risk (07:30 – 09:30) • Definitions, examples, and industry impacts • Distinction from market, credit, and liquidity risks
- Module 2: Risk Identification & Classification (09:45 – 11:15) • Types: internal fraud, process failure, human error, IT risk • Mapping operational risk categories
- Module 3: Risk Assessment Techniques (11:30 – 01:00) • Inherent vs. residual risk • Qualitative and quantitative assessment
- Module 4: Workshop – Risk Register Design (02:00 – 03:30) • Teams build a sample register for a business unit

Day 2: Controls, KRIs & Mitigation

- Module 1: Control Design & Mitigation Planning (07:30 – 09:30) • Preventive vs. detective controls • Mitigation hierarchy and effectiveness evaluation
- Module 2: Monitoring Tools – KRIs and Loss Data (09:45 – 11:15) • Selecting indicators and setting thresholds • Reporting and early warning signals
- Module 3: Compliance and Regulatory Considerations (11:30 – 01:00) • Basel III/IV, ISO 31000, and audit alignment • Incident reporting and governance
- Module 4: Final Exercise – Mitigation Strategy Development (02:00 – 03:30) • Participants develop a mitigation plan for a real-world scenario

Certification

Participants will receive a Certificate of Completion in Operational Risk Management & Mitigation, confirming their ability to assess, monitor, and control operational risks in alignment with international standards.

Why Choose MAWA Events

- **Global Expertise:** More than 17 years of experience in professional training and consulting.
- **Industry-Leading Faculty:** Courses delivered by seasoned professionals with hands-on experience.
- **Practical Insights:** Learn to turn theory into actionable strategies for real-world business impact.
- **Client-Focused Solutions:** Customized programs designed to achieve your organisation’s unique goals.

In-House / Customized Training Interested in running this course for your team? Please contact us:	TEL: **+601116373203**	EMAIL: **info@mawaevents.net**

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