

FUNDAMENTALS OF RISK MANAGEMENT FOR FINANCIAL SECTOR

“Understanding, Assessing, and Mitigating Financial Risks in a Rapidly Changing Economic Landscape”

Schedule

Date	Venue	Fees (Face-to-Face)
03 – 05 Feb 2026	Doha, Qatar	USD 2495 per delegate
03 – 05 Mar 2026	Dubai, UAE	USD 2495 per delegate

► **Available delivery methods:** Face-to-Face & Online Training

Introduction

In the fast-paced financial sector, managing risk is more critical than ever. Financial institutions must navigate a wide range of risks—from market volatility and credit risk to regulatory changes and cybersecurity threats. Effective risk management is not just about avoiding loss but also enabling strategic decision-making, ensuring compliance, and building long-term financial resilience. This 3-day course offers participants an introduction to the fundamentals of risk management specific to the financial sector. The course provides practical insights into identifying, assessing, and managing the key risks that financial institutions face. Participants will gain the tools needed to implement risk management frameworks and strategies to protect assets and ensure regulatory compliance.

Objectives

By the end of this course, participants will be able to:

- Identify the key types of financial risks (market risk, credit risk, operational risk, liquidity risk)
- Understand the principles of risk management frameworks and regulatory guidelines (Basel III, MiFID, Dodd-Frank)
- Assess the impact of financial risk on an organization’s performance and operations
- Use quantitative and qualitative tools to measure and manage risk exposure
- Develop risk mitigation strategies and contingency plans
- Communicate risk analysis and findings effectively to stakeholders

Why Attend

- Gain a comprehensive understanding of financial risk management principles
- Learn to identify and evaluate the various types of risk within the financial sector
- Develop practical strategies to mitigate risk and improve financial decision-making
- Understand regulatory requirements and their impact on risk management strategies
- Build a strong foundation for managing financial risks in the ever-evolving financial industry

Target Audience

This program is designed for:

- Risk managers, analysts, and financial professionals working in the financial sector
- Compliance officers and internal auditors
- Investment managers, portfolio managers, and financial analysts
- Corporate treasurers and CFOs
- Regulatory compliance and governance professionals
- Anyone looking to develop a deeper understanding of risk management in the financial industry

Individual Benefits

Key competencies that will be developed include:

- A comprehensive understanding of risk management frameworks and principles
- Practical skills for identifying, analyzing, and mitigating financial risks
- Enhanced ability to make informed, data-driven decisions in high-risk environments
- Expertise in assessing credit, market, liquidity, and operational risks
- Confidence in preparing reports and communicating risk findings to senior leadership and stakeholders

Organizational Benefits

Upon completing the training course, participants will demonstrate:

- Improved ability to identify, monitor, and mitigate financial risks within the organization
- More effective risk management strategies that align with business goals and regulatory requirements
- Reduced risk exposure and increased organizational resilience
- Enhanced decision-making capabilities through better understanding and management of financial risks
- Stronger compliance with financial regulations, reducing the risk of penalties and reputational damage

Instructional Methodology

The course follows a blended learning approach combining theory with practice:

- Strategy Briefings – Key concepts and frameworks in risk management and financial sector regulations
- Case Studies – Real-world examples of financial risk events, their causes, and their impact on organizations
- Workshops – Group exercises to analyze risk, build risk management strategies, and perform assessments
- Peer Exchange – Group discussions on the challenges and best practices in managing financial risks
- Tools – Risk management models, assessment templates, and regulatory compliance guidelines

Course Outline

Detailed 3-Day Course Outline

Training Hours: 7:30 AM – 3:30 PM Daily Format: 3–4 Learning Modules | Coffee breaks: 09:30 & 11:15 | Lunch Buffet: 01:00 – 02:00

Day 1: Introduction to Risk Management in the Financial Sector

Module 1: Overview of Risk Management (07:30 – 09:30)

- What is risk management?
- The role of risk management in the financial sector
- Types of financial risks: market risk, credit risk, liquidity risk, operational risk
- The importance of risk culture within financial institutions

Module 2: Risk Management Frameworks and Standards (09:45 – 11:15)

- Basel III, MiFID II, and other regulatory frameworks
- Internal risk management frameworks (ERM)
- Risk appetite and tolerance
- Risk governance structures and roles

Module 3: Market Risk and Credit Risk (11:30 – 01:00)

- Understanding market risk: volatility, interest rates, commodity prices, foreign exchange
- Credit risk: assessing borrower creditworthiness, managing counterparty risk
- Credit risk models and rating systems

Module 4: Workshop – Risk Identification and Categorization (02:00 – 03:30)

- Participants work in groups to identify and categorize financial risks within a given scenario
- Group feedback and discussion

Day 2: Quantitative and Qualitative Risk Analysis Tools

Module 5: Quantitative Risk Analysis Tools (07:30 – 09:30)

- Value-at-Risk (VaR) and its applications in financial risk management
- Stress testing and scenario analysis
- Sensitivity analysis and Monte Carlo simulations

Module 6: Qualitative Risk Assessment Techniques (09:45 – 11:15)

- Risk identification techniques (brainstorming, checklists, SWOT analysis)
- Risk assessment tools: risk matrix, heat maps, probability-impact charts
- Scenario planning and expert judgment

Module 7: Liquidity and Operational Risk Management (11:30 – 01:00)

- Liquidity risk management: cash flow forecasting, liquidity coverage ratio
- Operational risks: technology risks, process risks, and human error
- Business continuity planning and disaster recovery

Module 8: Workshop – Quantitative Risk Calculation (02:00 – 03:30)

- Hands-on session to apply risk analysis tools (VaR, stress testing) to a financial dataset
- Group discussion on the interpretation of results and actionable insights

Day 3: Risk Mitigation Strategies and Best Practices

Module 9: Developing Risk Mitigation Strategies (07:30 – 09:30)

- Risk control strategies: avoidance, reduction, transfer, and acceptance
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Hedging techniques and financial instruments for risk mitigation

- Building contingency plans and crisis management strategies

Module 10: Managing Regulatory Compliance and Risk Reporting (09:45 – 11:15)

- Regulatory compliance in risk management: reporting requirements and disclosures
- Aligning risk management with regulatory standards
- Communicating risk findings to regulators and stakeholders

Module 11: Integrating Risk Management into Decision-Making (11:30 – 01:00)

- Embedding risk management practices in organizational decision-making
- Aligning risk and strategy to maximize returns while minimizing exposure
- Building a risk-aware culture and continuous improvement in risk management

Module 12: Workshop – Risk Management Strategy Development (02:00 – 03:30)

- Participants work in teams to develop a comprehensive risk management plan for a financial institution
- Final presentations and instructor feedback

Certification

Participants will receive a **Certificate of Completion in Financial Risk Management** , validating their ability to assess, mitigate, and manage financial risks using industry-standard tools and methodologies.

Why Choose MAWA Events

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